

Regular mail:
Highland Funds
PO Box 219424
Kansas City, MO 64121-9424
Telephone: 877-665-1287

Overnight mail:
Highland Funds
430 W 7th Street, Suite 219424
Kansas City, MO 64105-1407

Account Options Form

I. INSTRUCTIONS

Use this form to establish any of the options listed below in your Highland Funds account(s).

II. PART I – CURRENT ACCOUNT INFORMATION

Name/Account Registration

Name/Account Registration

Social Security Number/Taxpayer Identification Number
()

Contact Phone Number

Extension

Email Address

III. PART 2 – ACCOUNT NUMBERS

Fund

Account Number

Fund

Account Number

Fund

Account Number

Fund

Account Number

Fund

Account Number

IV. PART 3 – CONSENT FOR ELECTRONIC DELIVERY

Indicate if you would like to receive your statements and other important documents online (**Corporate and Institutional accounts excluded**). You will receive a notification to the email address provided in Part 1 informing you that the documents are available for viewing on the Funds' website. Confidential account information will not be sent via email. You can change this election at any time.

Document Type for Electronic Delivery:

Account Statements

Confirmation Statements

Fund Reports, Prospectus, and Proxies

Tax Forms

V. PART 4 – TELEPHONE AND INTERNET PRIVILEGES

Check the box below to add telephone and internet privileges to your account(s).

Internet option excluded for Corporate and Institutional accounts.

- Purchase and Exchange Privileges Redemption Privileges

Complete Part 8, Bank Information.

A signature authentication is required for redemption privileges. The privileges normally become active 15 days after this form is processed.

VI. PART 5 – AUTOMATIC INVESTMENT PLAN (AIP)

Establish automatic investments in your account(s) through deductions from your bank account.

Frequency: Monthly Quarterly Semi-annually Annually

Fund	Amount (\$100 minimum)	Start Date	Day(s) of Month

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Fund	Amount (\$100 minimum)	Start Date	Day(s) of Month

Fund	Amount (\$100 minimum)	Start Date	Day(s) of Month

Check here if the account(s) listed above have a previously established AIP which you would like **changed**. (If you **do not** check this box, the previously established AIP will remain in effect).

Complete Part 8, Bank Information.

An AIP normally becomes active 15 days after this form is processed. If you are establishing an AIP and no start date is provided, the AIP will begin as soon as the option is established in accordance with the instructions provided. If no day or frequency is provided, investments will be made on or about the 5th business day of every month.

VII. PART 6 – SYSTEMATIC WITHDRAWAL PLAN (SWP)

Establish automatic investments in your account(s) through deductions from your bank account.

Frequency: Monthly Quarterly Semi-annually Annually

Fund	Amount (\$50 minimum)	Start Date	Day(s) of Month

Check here if the account(s) listed above have a previously established SWP which you would like **changed**. (If you **do not** check this box, the previously established SWP will remain in effect).

Specify payment method:

- Automatic deposit to my bank account. **Complete Part 8, Bank Information. (If this bank information is new to this account, a signature authentication is required.)**
- Send check to address on account.

Complete Part 8, Bank Information.

A SWP normally becomes active 15 days after this form is processed. If you are establishing a SWP and no start date is provided, the SWP will begin as soon as the option is established in accordance with the instructions provided. If no day or frequency is provided, redemptions will be made on or about the 5th business day of every month.

VIII. PART 7 –DISTRIBUTION OPTIONS

Indicate if you would like to change the distribution options on the account(s) listed in Part 2, please check the appropriate boxes.

Income Dividends: Reinvest Cash
 Capital Gains: Reinvest Cash

If any distributions are to be paid in cash, specify payment method:

- Deposit to my bank account. **Complete Part 8, Bank Information. (If this bank information is new to this account, a signature authentication is required.)**
- Send check to address on account.

IX. PART 8 –BANK INFORMATION

If you have completed Parts 4, 5, 6, or 7, attach a voided check (checking account), preprinted deposit slip (savings account) or separate instructions (brokerage account). **A signature authentication is required.** Your bank must be a member of the Automated Clearing House (ACH) system to use any options that require the completion of this section. Please call your bank if you are unsure. If you are including a preprinted deposit slip the bank routing number is usually NOT located on your slip. Please call your bank for the routing number.

Check here if this bank information replaces existing bank information already on your account.

Bank Account Type: Checking Account Savings Account Brokerage Account

NOTE: For Brokerage Accounts — The bank information (bank name, bank account number, ABA) may be different for ACH versus wire. If the bank information is different, provide the information on a separate sheet and attach it to this application. Please call your brokerage firm if you are unsure.

Attach a voided check (checking account) or preprinted deposit slip (savings account) here:

The Highland Funds account and bank account provided must have at least one common owner.

X. PART 9 – SIGNATURE AUTHENTICATION

Signature Guarantee (if required):

If you are adding bank information to your account and there is no common ownership between the account registration and the bank registration, a registered bank account owner must have his/her signature guaranteed by an eligible guarantor institution. An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is also an acceptable substitute for a signature guarantee.

The SVP Stamp is intended to provide a signature guarantee for those transactions considered non-financial in nature. The SVP Stamp on a document assures the signature on the document is genuine, the signer was known by or satisfactorily identified by the guarantor and the signer had the authority to sign the document. In the event the bank or financial institution does not offer the SVP Stamp, a Medallion Guarantee Stamp will be accepted.

The SVP Stamp and the Medallion Guarantee Stamp may be obtained from an eligible guarantor of the Medallion Guarantee Program, which includes commercial banks, trust companies, savings associations and credit unions, as defined by the Federal Deposit Insurance Act. Also included are member firms of a domestic stock exchange. Non bar-coded guarantees are not acceptable, but you may obtain stamps from a Notary Public. All bar-coded guarantees must be legible. For those signing in a capacity other than the account owner, a capacity must be indicated beside or beneath the signature. Prior to signing, contact the guarantor to determine what documentation needs to be provided.

Signature	Date

Signature	Date